



# Department of Defense DIRECTIVE

NUMBER 4140.58

October 29, 2003

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ASD(RA)

SUBJECT: National Guard and Reserve Equipment Report (NGRER)

- References:
- (a) DoD Directive 4140.58, "National Guard and Reserve Equipment Report," October 21, 1993 (hereby canceled)
  - (b) Section 10541 of title 10, United States Code
  - (c) [DoD Directive 1225.6](#), "Equipping the Reserve Forces," November 2, 1992
  - (d) [DoD Directive 5125.1](#), "Assistant Secretary of Defense for Reserve Affairs, ASD(RA)," March 2, 1994
  - (e) DoD 7000.14-R, "Department of Defense Financial Management Regulation," Volume 2A, "Budget Formulation and Presentation," June 2000
  - (f) [DoD 8910.1-M](#), "DoD Procedures for Management of Information Requirements," June 30, 1998

## 1. REISSUANCE AND PURPOSE

This Directive:

- 1.1. Reissues reference (a).
- 1.2. Implements reference (b).
- 1.3. Prescribes procedures for compiling and submitting the National Guard and Reserve Equipment Report (NGRER) to the Congress on Reserve component (RC) equipment, as required by reference (b). In addition, this Directive reiterates policy and assigns responsibilities associated with equipping the Reserve components.

## 2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD) and the Military Departments (including the National Guard) and the Coast Guard when it is not operating as a Service in the Department of the Navy under agreement with the Secretary of Homeland Security. The term "Military Services," as used herein, refers to the Army, the Navy, the Air Force, the Marine Corps, and the Coast Guard.

## 3. DEFINITIONS

The terms used in this Directive are defined in enclosure 1.

## 4. POLICY

It is DoD policy that:

4.1. The Congress shall be provided an annual written report by February 15 on the equipment of the RC for each of the three succeeding fiscal years (FYs), in accordance with reference (b).

4.2. Procuring and distributing equipment for the RC is to be accomplished in accordance with DoD Directive 1225.6 (reference (c)).

4.3. The responsibility for oversight of the RC is reflected in DoD Directive 5125.1 (reference (d)).

4.4. The annual report submitted to the Congress shall, at a minimum, provide the following information:

4.4.1. The Military Services' plans to maximize RC equipment availability under reference (c).

4.4.2. Recommendations as to the type and quantity of each major item of equipment that should be in the inventory of the RC.

4.4.3. The quantity and average age of each type of major item of equipment expected to be physically in the inventory of the RC at the beginning of each FY covered by the report.

4.4.4. The quantity and cost of each type of major item of equipment expected to be procured for the RC from commercial sources or to be transferred to the RC from the Active component (AC).

4.4.5. The quantity of each type of major item of equipment expected to be retired, decommissioned, transferred, or otherwise removed from the physical inventory of the RC, and replacement plans for that equipment.

4.4.6. The full wartime requirement of each major item of equipment required by the RC and the quantity of each such item in the inventory of the component (does not include substitution or in lieu of items).

4.4.7. The quantity of each substitute for a major end item of equipment expected to be in the inventory of the RC at the end of budget year (BY2+1), the third fiscal year covered by each report.

4.4.8. A narrative explanation of the plan of each Military Service to provide equipment needed to fill the wartime requirement of each major item of equipment to all of the RC, including an explanation of the plan to equip RC units that are short of major items at the outset of war.

4.4.9. The net quantity of equipment actually delivered to, or withdrawn from, the RC during the one of the 3 prior years.

4.4.10. A statement of the current status of the compatibility of equipment between the RC and AC, the effect of that level of incompatibility on combat effectiveness, and a plan to achieve full equipment compatibility.

4.4.11. The report shall use the same format and provide the same level of detail as the information presented in the annual Future Year Defense Program (FYDP) Procurement Annex in accordance with DoD 7000.14-R (reference (e)).

## 5. RESPONSIBILITIES

5.1. The Assistant Secretary of Defense (Reserve Affairs) (ASD(RA)), under the Under Secretary of Defense for Personnel and Readiness, shall:

5.1.1. Forward a memorandum (approximately July 1st) to the Military Services that provides detailed instruction on preparing the current years annual report, including data requirements, formats, narrative requirements, and types of Service analyses.

5.1.2. Provide to the Military Services an annual Milestone Schedule, which specifies the timetable for submission of the required inputs.

5.1.3. Obtain OSD and Service coordination and arrange for printing and distribution of the report.

5.2. The Secretaries of the Military Departments and the Commandant of the United States Coast Guard shall:

5.2.1. Provide information requested by the ASD(RA) in a memorandum.

5.2.2. Maintain the capability within Service data systems to provide RC programming, requirements, and inventory data needed to support the National Guard and Reserve Equipment Report.


5.2.3. Maintain the number of hours and estimated cost incurred in the preparation of this report. This information shall be reported to the ASD(RA) at the time of the NGRER After Action Review.

## 6. INFORMATION REQUIREMENTS

The "National Guard and Reserve Equipment Report" is assigned Report Control Symbol DD-RA(A)1759, in accordance with DoD 8910.1-M (reference (f)).

7. EFFECTIVE DATE

This Directive is effective immediately.



Paul Wolfowitz  
Deputy Secretary of Defense

Enclosures - 1

E1. Definitions

## E1. ENCLOSURE 1

### DEFINITIONS

E1.1.1. Active Component (AC). Refers to the Army, the Navy, the Air Force, the Marine Corps, and the Coast Guard without their RC.

E1.1.2. Compatibility. Capability of two or more items or components of equipment or materiel to exist or function in the same system or environment without mutual interference.

E1.1.3. On-hand. Total quantity of an item of equipment currently in the inventory of a specific unit or component.

E1.1.4. Major Item of Equipment. Aircraft, tanks, ships, trucks, engineer equipment, and major items of support equipment. Major items of equipment should address large dollar-value requirements and equipment shortages, critical RC shortages, Service procurements (P-1R) and National Guard and Reserve Equipment Appropriations procurement items, as well as any RC-specific item highlighted in the narrative. Major items of equipment, wherever possible, should be specified at the FYDP-level of detail (P-1R level of detail).

E1.1.5. Requirement. Total quantity of an item of equipment required to be on hand or available to meet wartime requirements at the outset of war.

E1.1.6. Reserve Component (RC). Refers to the Army National Guard, Army Reserve, Naval Reserve, Marine Corps Reserve, Air National Guard, Air Force Reserve, or Coast Guard Reserve. Does not include Active component units.

E1.1.7. Substitute for a Major Item. A deployable item that is to be employed in wartime instead of a mission essential required major item of equipment. Substitutes are considered compatible and interoperable, but may not be as capable as a required item of equipment.